

PATENT NUMBER 571 273 6500
DNIS: 273 6500

204 JAN 24 PM 3:49

US PATENT & TRADEMARK
OFFICE

CERTIFICATE OF FACSIMILE TRANSMISSION. I hereby certify that this correspondence is being transmitted via facsimile to facsimile number 571 273 6500 addressed to Assistant Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on 24 January 2006 (Date).

Tanya Parker
(Typed or Printed Name of Person Transmitting Paper or Fee)

Tanya Parker
(Signature of Person Transmitting Paper or Fee)

PATENT APPLICATION
Attorney Docket No. OR00-01101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF)

Daniel ManHung Wong)

Serial No. 09/559,206)

Filing Date: 26 April 2000)

Title: REFORMING QUERIES TO SELECTIVELY)
AUDIT ACCESSSES TO ROWS WITHIN A)
RELATIONAL DATABASE)

Examiner: Baoquoc N. To

Group Art Unit: 2172

REQUEST FOR REFUND FOR FEES OVERPAID

Assistant Commissioner for Patents
M/S: Refund Section, Financial Accounting Division, Office of Finance
P.O. Box 1450
Arlington, VA 22313-1450

Sir:

On 12 February 2004 an RCE and amendment was filed with the USPTO, along with check number 6496 for \$1,082.00 to cover the RCE fee (\$770.00) and the fee for three additional independent claims (copy of transmittal enclosed). This was received at the USPTO on 17 February 2004 (copy of stamped postcard enclosed). On 08 November 2005 another RCE and amendment was filed and received at the USPTO on 10 November 2005 (copy enclosed). There were no additional independent claims in this amendment past the independent claims that we already paid for. On 22 December 2005 our deposit account number 50-1003 was charged an additional \$600.00 fee for the same independent claims that were paid for on 17 February 2004 (copy of monthly statement of deposit account enclosed).

BEST AVAILABLE COPY


Adjustment date: 02/10/2006 SFELEKE1
12/22/2005 KWA150N 00000001 501003 09559206
01 FC:1801 600.00 CR

Please refund \$600.00 to our deposit account number 50-1003 (Docket Number: OR00-01101). Thanks.

Enclosed please find:

1. a copy of our monthly statement of deposit account;
2. a copy of check number 6496, dated 12 February 2004;
3. a copy of postcard returned from the USPTO dated 12 February 2004;
4. a copy of the amendment transmittal dated 12 February 2004;
5. a copy of the RCE transmittal dated 12 February 2004;
6. a copy of check 8401 (for second RCE fee) and postcard dated 08 November 2005.

Respectfully submitted,

By: 
A. Richard Park, Attorney-of-Record
Reg. No. 41,241

Park, Vaughan & Flemming, LLP
2820 Fifth Street
Davis, CA 95616
Tel: (530) 759-1661
Fax: (530) 759-1665
Email: richard@parklegal.com

Date: 24 January 2006



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and
return top portion with your check. Make check
payable to Director of Patents & Trademarks.

PARK & VAUGHAN
A. RICHARD PARK
2820 FIFTH STREET
DAVIS CA 95616

FINA

Account No.	501003
Date	12-30-05
Page	1

PLEASE SEND REMITTANCES TO:
U. S. Patent and Trademark Office
P.O. Box 70541
Chicago, IL 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
12	5	05	135	11291553	SUN05-1079	1201	200.00	3178.00
12	12	05	175	11296599	SUN-P9323-CNT-1	1201	200.00	2978.00
12	14	05	7	10042883		9204	-1706.00	4684.00
12	22	05	1	09559206	OR00-01101	1201	600.00	4084.00

AN AMOUNT SUFFICIENT TO
COVER ALL SERVICES REQUESTED
MUST ALWAYS BE ON DEPOSIT

OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
3378.00	1000.00	1706.00	4084.00

PARK VAUGHAN & FLEMING LLP

508 2ND ST STE 201
DAVIS, CA 95618-4692
530-759-1660WELLS FARGO BANK
Wells Fargo Bank, N.A.
California
11-4283/1210

6491

2/12/2004

PAY TO THE
ORDER OF Commissioner of Patents and Trademarks

\$ **1,082.00

One Thousand Eighty-Two and 00/100*****

Commissioner of Patents and Trademarks

DOLLARS ⑈

MEMO RCE Transmittal fee: OR00-01101: 09/559.206

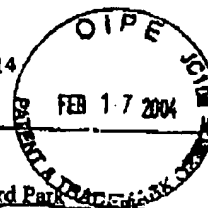
⑈006496⑈ ⑈121042882⑈5499936408⑈

Patent Application for:

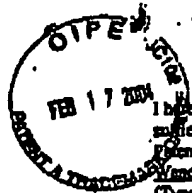
REFORMING QUERIES TO SELECTIVELY AUDIT ACCESSES TO ROWS WITHIN A
RELATIONAL DATABASEInventor(s): Daniel ManHung WongPatent Application No. 09/559.206

Please stamp and confirm receipt in the U.S. Patent Office of the following:

- ☒ RCE Transmittal;
☒ Amendment Transmittal; and
☒ Amendment in accordance 37 C.F.R. § 1.114
☒ Check for \$ 1,082.00
- ☐ Other
☒ First Class Mail Certification

Attorney Docket No.: OR00-01101 Attorney: A. Richard Park
Date Mailed: February 12, 2004

RECEIVED



I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 12, 2004

Wendy Scott

(Typed or Printed Name of Person Mailing Paper or Fee)

Wendy Scott
(Signature of Person Mailing Paper or Fee)

Application Number : 09/559,206
Applicant : Daniel ManHungWong
Filed : April 26, 2000
TC/A.U. : 2172
Examiner : To, BaoQuoc N.

Confirmation Number: 1513

Docket Number : OR00-01101
Customer No. : 22,835

RECEIVED

FEB 20 2004

Technology Center 2100

AMENDMENT TRANSMITTAL LETTER

Sir:

In connection with the above-referenced U. S. patent application, transmitted herewith are the following papers:

- ☒ Amendment in accordance 37 C.F.R. § 1.114.
- ☐ A petition for extension of time is also enclosed with a fee of \$0.00 for a one-month extension for a small entity.
- ☐ Terminal disclaimer under 37 C.F.R. § 1.321(c), including
 - ☐ check for \$110.00 fee under 37 C.F.R. § 1.20(d), and
 - ☐ 2 certificates under 37 C.F.R. § 3.73(b).
- ☐ Information disclosure statement, form 1449 and ___ references.
- ☐ No additional claims fees are required.

Match and Return

[x] An additional fee is required, and is calculated as shown below:

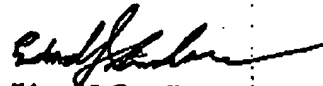
	NO. OF CLAIMS	HIGHEST NO. OF CLAIMS PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	ADDTL FEE
Total Claims	27	MINUS - 24	3	x \$18 =	\$54.00
Independent Claims	6	MINUS = 3	3	x \$86 =	\$258.00
If Amendment adds multiple dependent claims, add \$260.00					
Total Amendment Fee					\$312.00
If small entity status is claimed, subtract 50% of Total Amendment Fee					
TOTAL ADDITIONAL FEE DUE FOR THIS AMENDMENT					\$312.00

- [] A check in the amount of \$312.00 is enclosed.
 [] Charge \$_____ to Deposit Account No. _____ (Docket No. _____).
 [x] Please deduct any underpayments, credit any overpayments, and charge all required extension of time fees to Deposit Account Number 50-1003. (Docket No. OR00-01101).

Edward J. Grundler
 Park, Vaughan & Fleming LLP
 508 Second Street, Suite 201
 Davis, CA 95616
 Tel: (530) 759-1663
 FAX: (530) 759-1665

Respectfully submitted,

By


 Edward J. Grundler
 Registration No. 47,615

Date: February 12, 2004



#17
20072709

Approved for use through 10/31/2002: OMB 0651-0001
Patents and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective May 29, 2000 provides for continued examination of a utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Complete If Known	
Application Number	09/559,206
Filing Date	April 26, 2000
First Named Inventor	Daniel ManHung Wong
Group/Art Unit	2172
Examiner Name	To, Baoquoc N.
Attorney Docket Number	ORDD-01101

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 for the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/25) instead of an RCE to be eligible for the patent term adjustment provisions of the AIPA. See, *Changes to Application Examination and Provisional Application Practice*, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1239 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission Required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on (Any successful amendment(s) in force to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other:
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other:

02/18/2004 HZEMHIEI 00000100 09559206

01 FC:1801
02 FC:1801
03 FC:1802

RECEIVED
FEB 20 2004
Technology Center 2100

770.00 IP
254.00 IP
34.00 IP

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(e) for a period of ___ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.170 required.)
- b. ☐ Other:

3. Fees (The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.)

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-1003 (Docket No. ORDD-01101)
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☒ Other: Please deduct any underpayments and credit any overpayments from Deposit Account Number 50-1003.
- b. ☒ Check in the amount of \$ 1,082.00 (\$770. for RCE fee and \$312 for amendment fees, enclosed)
- c. ☐ Payment by credit card (Form PTO-2038 enclosed).

SIGNATURE OF APPLICATION, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Edward J. Grundler	Registration No. (Attorney/Agent)	47,615	Telephone	530-759-1663
Signature	<i>Edward J. Grundler</i>	Date	February 12, 2004		

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	Wendy Scott	Date	February 12, 2004
Signature	<i>Wendy Scott</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box RCE, Washington, DC 20231.

Match and Return

PARK VAUGHAN & FLEMING LLP

2820 Fifth Street
DAVIS, CA 95616
530-759-1660WELLS FARGO BANK
Wells Fargo Bank, N.A.
California
11-4289/1210

8401

11/8/2005

BY TO THE
ORDER OF Commissioner of Patents and Trademarks

Seven Hundred Ninety and 00/100

\$ **790.00

Commissioner of Patents and Trademarks

DOLLARS 8 79

NO 09/559,206; RCE Transmittal fee; OR00-01101

⑈00840⑈ ⑆126042882⑆5499936408⑈

Patent Application for:

REFORMING QUERIES TO SELECTIVELY AUDIT ACCESSES TO ROWS WITHIN A
RELATIONAL DATABASE.Inventor(s): Daniel ManHung WongPatent Application No. 09/559,206

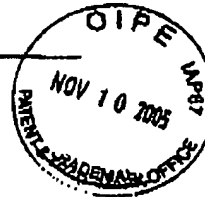
Please stamp and confirm receipt in the U.S. Patent Office of the following:

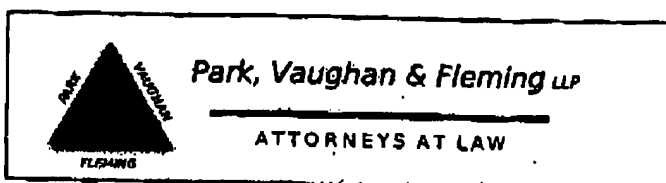
DOC
NOV 0

- ☒ RCE Transmittal;
☒ Amendment Transmittal; and
☒ Amendment in accordance 37 C.F.R. § 1.114 NOV 21 2005
☒ Check for \$ 790.00

DOCKETED

- ☐ Other
☒ First Class Mail Certification

Attorney Docket No.: OR00-01101 Attorney: A. Richard ParkDate Mailed: 8 November 2005RECEIVED
NOV 10 2005



2820 FIFTH STREET
DAVIS, CA 95616
TELEPHONE: 530.759.1660
FAX: 530.759.1665
A LIMITED LIABILITY PARTNERSHIP
www.parklegal.com

Writer's Direct Dial
(530) 759-1661
richard@parklegal.com

FACSIMILE TRANSMITTAL SHEET

TO:	
Receipts Accounting Div.	FAX: 571 273-6500 (FAX)
COMPANY:	
U.S. Patent & Trademark Office	TELEPHONE: 571-272-6 (telephone)
ATTN: Latrice Sims	
FROM:	
A. Richard Park	DATE: 24 January 2006
TITLE:	
Attorney at Law	PHONE:
TOTAL NO. OF PAGES INCLUDING COVER:	
9	
RE:	
Request for refund OROO-01101	

CONFIDENTIALITY NOTICE

This transmission contains confidential and/or legally privileged information, which is intended only for the use of the individual or entity named as the Recipient. If you are not the intended Recipient, you are hereby notified that any disclosure, copying, distribution or reliance upon the contents of the information contained in this transmission is strictly prohibited. If you have received this facsimile in error, please notify us immediately and destroy all copies of this transmission.

NOTES/COMMENTS:

Dear Representative,

Attached please find a request for refund for independent claims fee already paid.

Regards,

A. Richard Park Reg. No. 41,241

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☒ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.